

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

240715US0DIV

SERIAL NO.

New Application

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Nozomu TAMOTO, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,736,134	5/29/73	D.W. GOSSELINK, et al.			
	AB	4,820,620	4/11/89	D.J. CAROLLA			
	AC	6,030,733	2/29/00	KAMI, et al.			
	AD	6,136,483	10/24/00	SUZUKI, et al.			
	AE	6,026,262	2/15/00	KINOSHITA, et al.			
	AF	6,093,784	7/25/00	TAMURA, et al.			
	AG	5,747,204	5/5/98	ANZAI, et al.			
	AH	6,027,846	2/22/00	SHIMADA, et al.			
	AI	5,840,454	11/24/98	NAGAI, et al.			
	AJ	5,723,243	3/3/98	SASAKI, et al.			
	AK	5,942,363	8/24/99	TANAKA, et al.			
	AL	5,789,128	8/4/98	ADACHI, et al.			
	AM	5,846,680	12/8/98	ADACHI, et al.			
	AN	6,030,736	2/29/00	IKEGAMI, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	4-295855	10/20/92	JAPAN w/English Abstract		
	AP	8-292585	11/5/96	JAPAN		
	AQ	9-281718	10/31/97	JAPAN w/English Abstract		
	AR	2000-66434	3/3/00	JAPAN w/English Abstract		
	AS	43-16198	7/8/68	JAPAN w/Partial English Translation		
	AT	44-834	1/16/69	JAPAN w/Partial English Translation		
	AU	49-10258	3/9/74	JAPAN w/Partial English Translation		
	AV	53-13344	11/31/78	JAPAN w/English Abstract		
	AW	04240656A	8/92	JAPAN		
	AX	2000352832A	12/00	JAPAN		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AY	Derwent Abstracts, AN 1992-336267, JP 04-240656, August 27, 1992.				
	AZ				<input type="checkbox"/> Additional References sheet(s) attached	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AAA	6,045,959	4/4/00	KATAYAMA, et al.			
	AAB	6,066,428	5/23/00	KATAYAMA, et al.			
	AAC	5,976,746	11/2/99	TAMAKA, et al.			
	AAD	6,468,706	10/22/02	MATSUDA, et al.			
	AAE	6,406,826	6/18/02	SUZUKI, et al.			
	AAF	6,322,940	11/27/01	NISHIGAKI, et al.			
	AAG	5,028,502	7/2/91	YUH, et al.			
	AAH	5,100,453	3/31/92	RICHARDS			
	AAI	6,249,304	6/19/01	SAWAYAMA, et al.			
	AAJ	5,834,145	11/10/98	YOSHINAGA, et al.			
	AAK	6,366,751	4/2/02	SHAKUTO, et al.			
	AAL	5,999,773	12/7/99	YASUTOMI, et al.			
	AAM	5,928,828	7/27/99	SUZUKI			
	AAN	5,910,561	6/8/99	ADACHI, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AAO	55-157747	12/8/80	JAPAN w/English Abstract		
	AAP	57-30846	2/19/82	JAPAN w/English Abstract		
	AAQ	2-4275	1/9/90	JAPAN w/English Abstract		
	AAR	4-281461	10/7/92	JAPAN w/English Abstract		
	AAS	0 655 654	5/31/95	EUROPE		
	AAT					
	AAU					
	AAV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
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				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAAA	6,069,224	5/30/00	ADACHI, et al.			
	AAAB	6,191,249	2/20/01	TANAKA, et al.			
	AAAC	6,432,596	8/13/02	IKUNO, et al.			
	AAAD	6,319,878	11/20/01	INA, et al.			
	AAAE	5,702,855	12/30/97	IKEGAMI, et al.			
	AAAF	5,578,405	11/26/96	IKEGAMI, et al.			
	AAAG	5,339,138	8/16/94	MISHIMA, et al.			
	AAAH	5,496,671	3/5/96	TAMURA, et al.			
	AAAI	5,411,8276	5/2/95	TAMURA, et al.			
	AAAJ	4,942,104	7/17/90	KITAJIMA, et al.			
	AAAK	4,740,441	4/26/88	OTOMURA			
	AAAL	6,187,494	2/13/01	KAWAMURA, et al.			
	AAAM	6,187,492	2/13/01	RI, et al.			
	AAAN	6,210,848	4/3/01	NAGAI, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AAAO						
	AAAP						
	AAAQ						
	AAAR						
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	AAAT						
	AAAU						
	AAAV						
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	AAAW						
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	AAAZ					<input type="checkbox"/> Additional References sheet(s) attached	
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	AAB	6,326,112	12/4/01	TAMURA, et al.			
	ABB	6,444,387	9/3/02	RI, et al.			
	ACB	6,132,911	10/17/00	NIIMI			
	ADB	6,218,533	4/17/01	NIIMI			
	AEB	5,547,790	8/20/96	UMEDA, et al.			
	AFB	5,677,094	10/14/97	UMEDA, et al.			
	AGB	5,804,343	9/8/98	UMEDA, et al.			
	AHB	5,871,876	2/16/99	IKUNO, et al.			
	AIB	6,087,055	7/11/00	NIIMI			
	AJB	5,853,935	12/29/98	SUZUKI, et al.			
	AKB	6,118,964	9/00	KOJIMA, et al.			
	ALB	5,948,579	9/99	MASHIMO, et al.			
	AMB	4,663,259	5/87	FUJIMURA, et al.			
	ANB	4,766,048	8/88	HISAMUA, Masafumi			
	AOB	5,008,172	4/91	ROKUTANZONO, et al.			
	APB	6,300,027	10/01	CHAMBERS, et al.			
	AQB	6,492,081	12/02	MORIKAWA, et al.			
	ARB	2002/0048711	4/02	ITO, et al.			
	ASB	5,679,488	10/97	ITAMI, et al.			
	ATB	5,932,381	8/99	AKAO, et al.			
	AUB	2002/0011177	1/02	YAMAMORI, et al.			
	AVB	6,077,635	6/00	OKADO, et al.			
	AWB	6,243,552	6/01	MURATA, Jun			
	AXB	5,008,706	4/91	OHMORI, et al.			
	AYB	5,363,176	11/94	ISHIHARA, et al.			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Nozomu TAMOTO, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: ELECTROPHOTOGRAPHIC PHOTORECEPTOR, AND IMAGE FORMING
METHOD AND APPARATUS USING THE PHOTORECEPTOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached European Search Report and cited in prior application serial number 09/985,347 and listed on form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618



22850

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Nozomu TAMOTO, et al.

SERIAL NO: New Application

GAU:

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CERTIFICATION

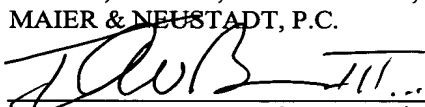
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
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